

# David B. Lourie

## ACADEMIC APPOINTMENTS

### **Southern Illinois University School of Law, Carbondale, IL**

*Visiting Professor of Law*, August 2023 to present

- Scholarship: Securities Regulation, Corporate Compliance, Private Equity, ESG, and Commercial Law.
- Courses: Contracts (Fall 2023, Spring 2024), Commercial Law (Fall 2023), Transactional Skills (Fall 2023), Securities Regulation (Spring 2024) and Agency & Partnership (Spring 2024).

### **University of Southern California Gould School of Law, Los Angeles, CA**

*Lecturer in Law*, August 2022 to present

- Courses: Negotiation Skills (Fall 2022, Spring 2023).

### **Cornell University School of Law, Online**

*eCornell Facilitator for Securities Law Certificate Courses*, February 2023 to present

- Facilitate courses by grading and providing feedback on course projects and conducting live sessions for legal and business professionals in the Securities Law Certificate program.

### **Northwestern University, Evanston Illinois**

*Instructor in Harvey Kapnick Center for Business Institutions Program*, Fall 2022 to present

- Courses: Organizational Risk Management (Fall 2023).

### **Western Colorado University, Online**

*Lecturer in Business Administration*, Fall 2021 to Spring 2023

- Courses: Organizational Behavior (Fall 2021, Spring 2022, Spring 2023), Human Resource Management (Fall 2021, Spring 2022, Fall 2022, Spring 2023), Business Communication (Spring 2023), and Business Ethics (Summer 2023).

## EDUCATION

### **University of Southern California Gould School of Law, JD, 2010**

- Graduated in the Top 10% of Class
- *Southern California Law Review*
- Research Assistant for Provost Elizabeth Garrett
- USC Gould School of Law OutLaw Executive Board

### **Northwestern University**

- Departmental Honors awarded for Senior Honors Thesis in History: Children of Japanese War Brides and World War II GIs.

## PUBLICATIONS AND WORKS IN PROGRESS

- *Chief Compliance Officer Personal Liability at Financial Services Firms: Establishing a Clear Legal Standard that Promotes Compliant and Ethical Firm Behavior* (job talk paper abstract, manuscript in progress).
- *Rethinking Donor Disclosure After the Proposition 8 Campaign*, 83 S. CAL. L. REV. 133 (2009).

## PROFESSIONAL EXPERIENCE

### **David Bryant LLC Securities Compliance Consulting, St. Louis, MO**

*President*, 2022-2023

- Provided outsourced securities and compliance consulting to financial services firms.
- Conducted comprehensive SEC annual reviews by interpreting and applying complex securities laws and regulations.

### **Brooks Law Firm, St. Louis, MO**

*Special Counsel*, 2022-2023

- Worked with Managing Partner on complex legal matters, including business disputes, contracts, employment law, and appellate matters.

**General Atlantic, L.P.**, New York, NY

*Associate General Counsel, Vice President, 2021-2022*

General Atlantic is a leading global growth equity firm that provides capital and strategic support to over 400 companies in the financial services, healthcare, life sciences, technology, and consumer sectors. The firm currently has over \$75 billion in assets under management and 16 global offices.

- Provided legal and compliance analysis of the firm's products and strategies – growth equity, ESG/carbon neutral, credit, and public securities.

**Cressey & Company LP**, Chicago, IL

*Chief Compliance Officer and Director of ESG, 2018-2021*

Cressey & Company is a middle-market private equity firm focused exclusively on investing in and building leading healthcare businesses. The current committed capital is approximately \$3 billion. I was a member of an experienced and highly successful management team with responsibility for developing, executing, and leading the firm's legal, compliance, and ESG programs.

***Securities Legal and Compliance Responsibilities:***

- Created entire best-in-class SEC legal and compliance infrastructure for the firm upon joining in 2018. Drafted new firm policies and procedures, compliance testing materials, and employee training materials.

***ESG Responsibilities:***

- Created best-in-class ESG infrastructure for the firm and led the firm initiative to sign the Principles for Responsible Investment ("PRI") in April 2021. Cressey was one of the only U.S. middle-market private equity firms in the world to become a PRI signatory at that time.

**Ascendant Compliance Management**, New York, NY & Chicago, IL

*Assistant Vice President and Regulatory Compliance Services Consultant, 2013-18*

Ascendant (now CSS) is a full-service regulatory compliance management consulting firm whose primary clients are investment advisors, hedge funds, and private equity firms totaling billions of dollars in assets under management.

- National responsibility for conducting on-site compliance reviews for investment advisors, hedge funds, and private equity firms. Traveled to clients frequently.

**Enterprise Holdings**, St. Louis, MO

Enterprise Holdings is a leading mobility solutions company that is the 9th largest private company in the United States.

*Contracts Drafting and Review; Compliance and Business Risk Assessment, 2012*

- Drafted and negotiated sophisticated commercial contracts and promoted compliance with laws and regulations.

**United States District Court for the Western District of Tennessee**, Memphis, TN

*Federal Judicial Law Clerk for the Honorable Tu M. Pham, 2010-11*